

Your Custom Title

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Mark Reynolds
Title

FINANCIAL REVIEW SURVEY

Give Your Financial Strategy a Quick Checkup

Assess *Whether You Are on Track to Pursue Your Goals*

Summarizing your financial situation and putting your financial objectives in writing can be an important step in pursuing a financially comfortable future. To help us stay abreast of your circumstances — and identify any new or unaddressed concerns you may have — please take a moment to complete this brief survey and return it to us in the enclosed envelope.

Do you have a written financial plan?

- Yes No Not sure
 If yes, when did you last review it?
-

Are your financial goals: *(Check all that apply)*

- Specific? Attainable?
 Measurable? Not sure
 Realistic?

Have your goals changed since your last portfolio review?

- Yes, I have some new goals that could affect my investing situation.
 No, but I'd like to discuss my situation to make sure my goals are still realistic.
 Other _____

Have your personal circumstances or financial situation changed since your last portfolio review? *(Check all that apply)*

- Birth or adoption of a child
 Separation or divorce
 Marriage or remarriage
 Substantial change to net worth
 Acquisition or sale of property
 Received an inheritance
 Retired
 Changed jobs
 Caring for an aging parent or other family member
 Health situation (you or family member)
If so, please explain: _____

 Other _____

Are you concerned that the face value of your life insurance policy may no longer be adequate for your family's needs?

- Yes No Not sure

Are you concerned that tax increases could affect your financial situation?

- Yes, I'm concerned that higher taxes could interfere with my current lifestyle.
 Yes, I'm concerned that I may need to alter my investment situation because of taxes.
 No, I've got my tax situation under control.

How concerned are you that inflation could affect your financial situation?

- Very concerned
 Concerned
 Not concerned
 I'd like more information on how inflation could affect my lifestyle.

Have you reviewed your investment portfolio to determine whether your investments are still in keeping with your: (Check all that apply)

- Objectives?
 Risk tolerance?
 Time horizon?

Have you reviewed your investment portfolio to determine whether your investments are adequately diversified among several different asset classes? (Check all that apply)

- Yes No Not sure

Since our last conversation, have you changed or considered changing the age at which you plan to retire?

- Yes No

If yes, why? _____

Has your monthly income increased during the past year?

- Yes, and I am saving more as a result.
 Yes, but I haven't yet altered my saving strategy and/or retirement contributions.
 No, but I am expecting a raise soon.
 Nothing has changed.

Do you have any other questions or concerns about your personal financial situation that you would like to discuss with us? _____

Name _____

Phone number _____

Email _____

Thank you for taking the time to complete this survey.

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